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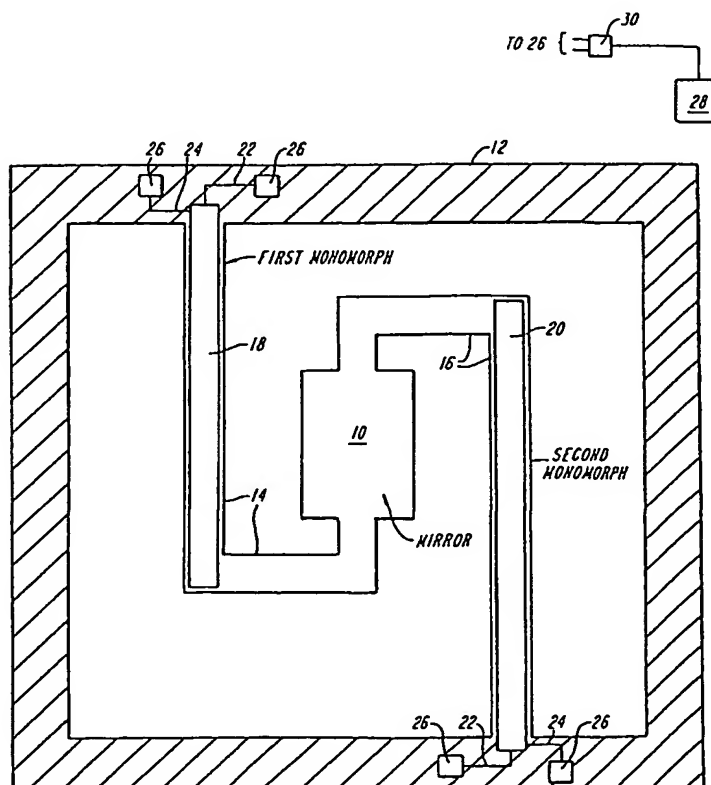
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(21) International Application Number: <b>PCT/US00/07075</b> (22) International Filing Date: 17 March 2000 (17.03.00) (30) Priority Data: 60/124,982 18 March 1999 (18.03.99) US (71) Applicant (for all designated States except US): <b>TRUSTEES OF BOSTON UNIVERSITY [US/US]; 147 Bay State Road, Boston, MA 02215 (US).</b> (72) Inventor; and (75) Inventor/Applicant (for US only): <b>SMITS, Johannes, G. [US/US]; 22 Whitelawn Avenue, Milton, MA 02186 (US).</b> (74) Agents: <b>GAGNEBIN, Charles, L., III et al.; Weingarten, Schurgin, Gagnebin &amp; Hayes LLP, Ten Post Office Square, Boston, MA 02109 (US).</b>		(81) Designated States: AU, CA, JP, KR, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  Published With international search report.

(54) Title: **VERY LARGE ANGLE INTEGRATED OPTICAL SCANNER MADE WITH AN ARRAY OF PIEZOELECTRIC MONOMORPHS**

(57) Abstract

A micromachined mirror scanning system having plural benders of morphs and support arms (14, 16) between a mirror surface (10) of silicon and a surrounding frame (12). Electrical energization of the morphs with a DC voltage under the control of a computer provides a large range of mirror orientations and positions and the devices occupy a small planar package. The devices of the invention are formed from a single wafer of silicon and have low response times and large scan angles.



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## TITLE OF THE INVENTION

5           VERY LARGE ANGLE INTEGRATED OPTICAL SCANNER MADE WITH AN  
            ARRAY OF PIEZOELECTRIC MONOMORPHS

## CROSS REFERENCE TO RELATED APPLICATIONS

10           This application claims priority under 35 U.S.C.  
§119(e) to Provisional Application No. 60/124,982, filed  
March 18, 1999; the disclosure of which is incorporated  
herein by reference.

## ACKNOWLEDGEMENT OF GOVERNMENT SUPPORT

15           This invention was made with government support  
under Contract Number N00014-96-2-0008 awarded by the  
Office of Naval Research. The Government has certain  
rights in the invention.

20

## BACKGROUND OF THE INVENTION

25           Mirrors for the redirection of light find  
applications in a great many functions including card  
readers, displays among others. The miniaturization of  
many functions and their increasing complexity places  
space and frequency response demands on such mirror  
systems. The uses for such mirrors demands that they be  
capable of two axis motion with pointing angles under  
30           computer control. Furthermore high speed operation is  
increasingly in demand.

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Systems of today typically use bulky mechanical designs filling a significant volume or are only capable of mirror motion about one axis.

5

## BRIEF SUMMARY OF THE INVENTION

10 The present invention takes advantage of the miniaturization and cost advantages of micromachining to produce scanning mirror systems in planar silicon arrays on wafers with large scan angles, high frequency responses and thus fast scanning rates. The advantages of high efficiency production are also available through this fabrication technique.

15 The invention supports a mirrored silicon surface from one or more support arms attached to a frame of silicon, all of the same wafer. The support has regions with depositions that provide bender or piezoelectric morph functions when energized with a voltage. Intermediate platforms or junction points allow the  
20 supports to be a combination of several arms, some having morph functions and others not. This provides an amplification or leverage function to the bending action of each morph, achieving very large scan angles per applied volt. The small size, relative rigidity of  
25 silicon allow high resonant frequencies and thus fast response times. The flexibility of micromachining allows multi axis mirror motion and computer control. Using combinations of arm segments of morph and neutral functions a wide range of functions can be achieved in a  
30 final product.

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Large scale wafer fabrication techniques allow many scanning systems to be made on a single wafer for further efficiencies in the production of the scanners. The use of a DC voltage for the scanner reduces vibration effects.

#### DESCRIPTION OF THE DRAWING

These and other features of the invention will be made clear in the following description and accompanying drawing of which:

Fig. 1 is a diagram of a two morph mirror scanning system of the inventions;

Fig. 2 illustrates the operation of the device of Fig. 1;

Fig. 3 is a diagram of a four morph mirror scanning system of the invention;

Figs. 4 - 11 illustrate a fabrication process for the scan mirrors of the present invention; and

Fig. 12 illustrates a three morph mirror system.

#### DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention utilizes micromechanically formed scanning mirror systems as illustrated in Fig. 1. Shown there is a mirrored silicon platform or area 10 supported and etch released from a silicon frame 12 by respective silicon support arms 14 and 16. Overlying the arms 14 and 16 are respective morphs 18 and 20 which may be monomorphs or bimorphs (the term morph being used to represent either or other equivalent structures

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herein). The morphs comprise piezoelectric depositions formed during the micromachining of the device as described below. As is known in the art the morphs 14 and 16 for use as benders, have upper and lower electrical connections 22 and 24 to terminals 26, all formed as metalizations on the frame 12. The frame 12 is shown schematically and typically would be of greater extent in both directions of the plane of the page.

In Fig. 2 there is shown a diagrammatic illustration of the principle of operation of a scanning mirror according to the invention in which there is a mirror 10' supported on arms 14' and 16' within a frame 12'. As the morphs or bimorphs of the arms 14' and 16' are electrically actuated to bend in opposite directions, the mirror 10' will be tilted a considerable distance. By varying and controlling the signals applied to the morphs, the degree of bending and the angle of inclination of the mirror 10' can be precisely set or scanned with knowledge of the exact position of the mirror. For this purpose the system of the invention is normally operated with a micro or other processor 28 which controls the magnitude of the signals applied to terminals 26, with or without interfacing drivers.

Fig 3 illustrates the invention in a four morph arrangement in which a central mirror 40 is first connected to first and second platforms 42 and 44 by "J" shaped support arms 46 and 48 that connect mirror 40 top and bottom edges to platform 42 and 44 left and right hand sides. The top and bottom edges of platforms 42

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and 44 are respectively connected by support arms 50, 52, 54 and 56 to a frame 54. The support arms 50, 52, 54, and 56 are made into morphs by depositions of layers forming piezoelectric benders. By actuating the morphs of arms 50 and 52 in one polarity and those of arms 54 and 56 in an opposite polarity, the mirror can rotate about an axis passing left to right while actuation of benders of arms 50 and 54 in one polarity and those of 52 and 56 in an opposite polarity, the mirror can be tilted about an axis running top to bottom in the page.

Figs. 4 - 11 illustrate steps in the preparation of a silicon wafer to provide the final scanning structure. These illustrations are generic in nature and show the processes used, but are exemplary only and not to be taken as limiting in the actual structure prepared. From a silicon on glass structure wafer 70 available in the industry insulating layers 72 are formed over the two silicon regions 74. These insulating layers 72 are apertured as shown in Fig. 5 for the creation of alignment marks 76 and the silicon around them is then etched back in Fig. 6. Finally a new insulating layer 78 is formed. In Fig. 8, the silicon of the top device layer is etched back to the internal insulator layer 80 in regions 82 to isolate the portions of the structure that are to be free to move in the final device. A back side etch leaves a deep 350 micron void. Those portions of the top surface that are to function as a reflector 86 or morphs 88 are plated in Fig. 10, the morphs being a platinum electrode - PZT bender layer sandwich. In Fig. 11 the back etch is extended to the insulating

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layer 80 which is in turn etched to free the structure for motion as described above and below.

Fig. 12 illustrates a further embodiment of the invention in which three "J" shaped arms 100, completing  
5 nearly a 180 degree curvature and angled at 120 degrees from each other, are supported from the edge 102 of a frame. The initial linear portion 104 of the arms 100 is plated to function as morphs or benders. A  
10 computation system 106 drives the morphs and accomplishes any coordinate transformations to adjust orthogonal drive signals to the 120 degree angles. A mirror 110 is formed in the center as discussed before.

Stress relief structures 112 are formed of silicon between the ends of the arms 100 and the mirror 108 to  
15 accommodate a difference in slope between the sides of the arms 100 at the juncture with the mirror due to the substantial curving of the arms 100 at the end and the 120 degree arm placement. Similar stress relief structures may be added to the other designs. The  
20 stress relief structures comprise a widening of the arms with the centers etched out leaving only outer bands for the attachment over a few degrees of curvature.

Of particular advantage to such a structure is the fact that if the morphs or benders on the arm portions  
25 104 are electrically driven to bend in the same direction an identical amount, or nearly so, the mirror 110 is given a bending moment at its edges where the arms attach. This results in the mirror 110 being bent slightly in a convex or concave shape which has



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usefulness in providing focussing or defocussing effects on light beams reflected thereby.

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## CLAIMS

1. A micromechanical system of one or more platforms and plural supports wherein at least one said platform is adapted for having a reflective surface, said system comprising:

a frame holding one or more of said plural supports at respective ends thereof distant from a corresponding platform;

morph drivers coextensive and associated with a portion only of respective ones of a plurality of said plural supports, other portions of said plural supports being angled to the portion to which said morphs are coextensive;

2. The system of claim 1 wherein:

a first plurality of said supports extend from said frame to a first platform and a second plurality of said supports extend from said frame to a second platform, said first and second pluralities of supports having morph drivers associated therewith;

a third plurality of said supports extending from said first and second platforms to a third platform, said third platform being adapted for a reflective surface.

3. The system of claim 1 wherein said supports are "J" shaped.

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4. The system of claim 3 wherein said supports number three in total, oriented at 120 degrees from each other.

5 5. The system of claim 1 wherein a strain relieving configuration is provided to connect said supports and said platforms.

10 6. The system of claim 1 further including electrical connections to said morphs from terminals on said frame configured to provide bending of said supports in different directions in response to a signal applied to said terminals.

15 7. The system of claim 1 wherein said supports comprise multiple arms selected from the group of: arms with morphs of a selected length and arms without morphs of a selected length.

20 8. The system of claim 1 wherein said supports and said platforms are of silicon.

9. The system of claim 7 wherein said supports and platforms are etch released from said frame.

25 10. The system of claim 1 wherein said morphs are selected from the group consisting of monomorphs and bimorphs.

30 11. The system of claim 1 wherein said morphs are piezoelectric elements applied to said supports.

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12. A method for controlling the pointing angle of a light reflecting element comprising the steps of:

providing a system as claimed in claim 1:

5       applying signals to morphs of said system from a processor to produce angulation of said light reflecting element.

10       13. The method of claim 12 wherein said processor provides a coordinate transformation.

14. The method of claim 12 wherein said light reflecting element includes a mirror.

15       15. A method of forming a scanning system including the steps of forming a micromechanical system of claim 1 from silicon.

20       16. The method of claim 15 further including the step of forming said morphs as layered piezoelectric elements on silicon supports between said frame and said reflecting element.

25       17. The system of claim 4 wherein one or more said platform takes on a convex or concave shape under the influence of a similar bending of said morphs.

30       18. A method for adjusting the focusing effect of a reflective surface as claimed in claim 4 comprising the step of:

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causing said morphs to bend in substantially the same direction and magnitude to apply a bending moment to edges of said reflective element causing a bending thereof in a convex or concave shape.

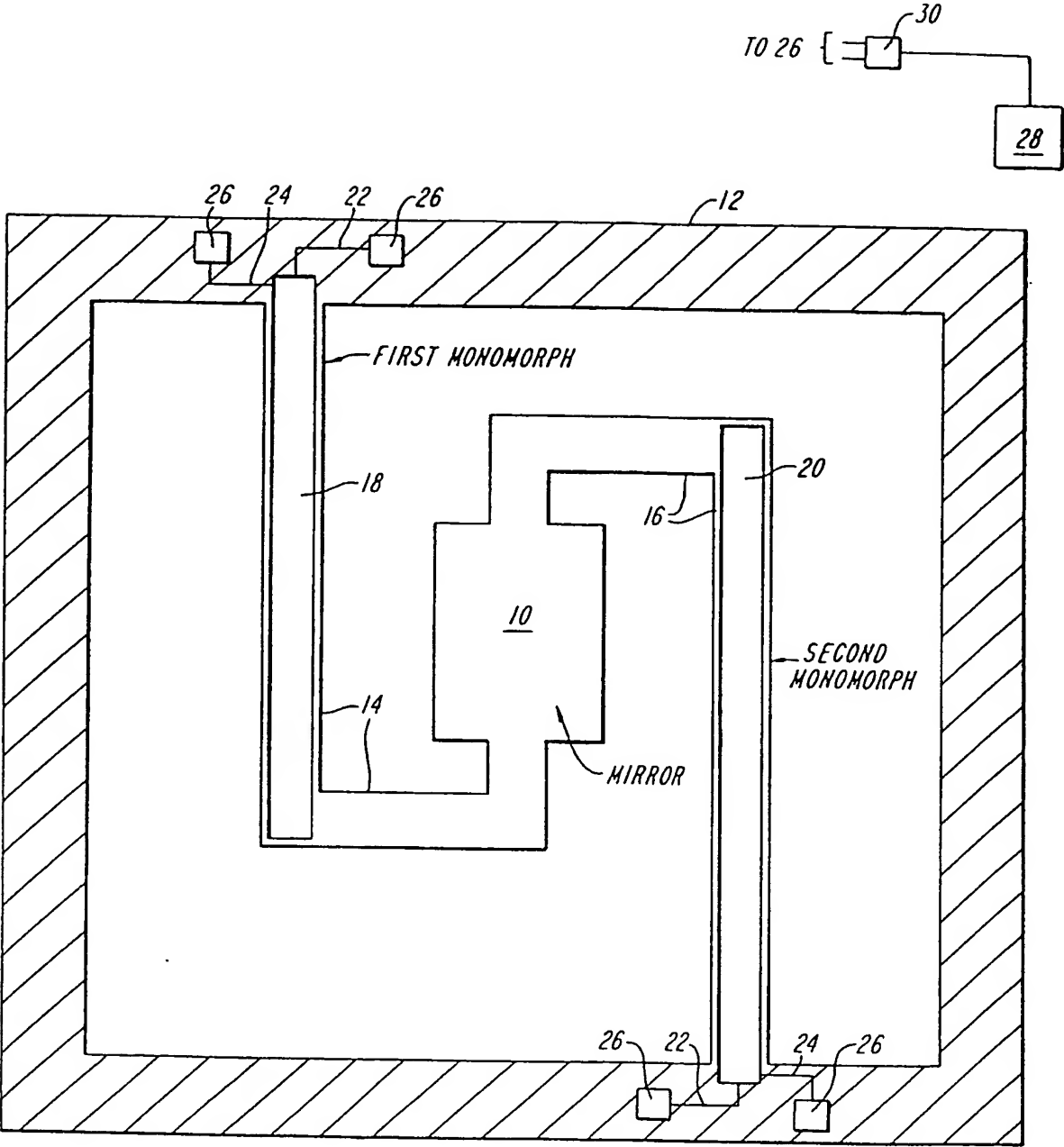
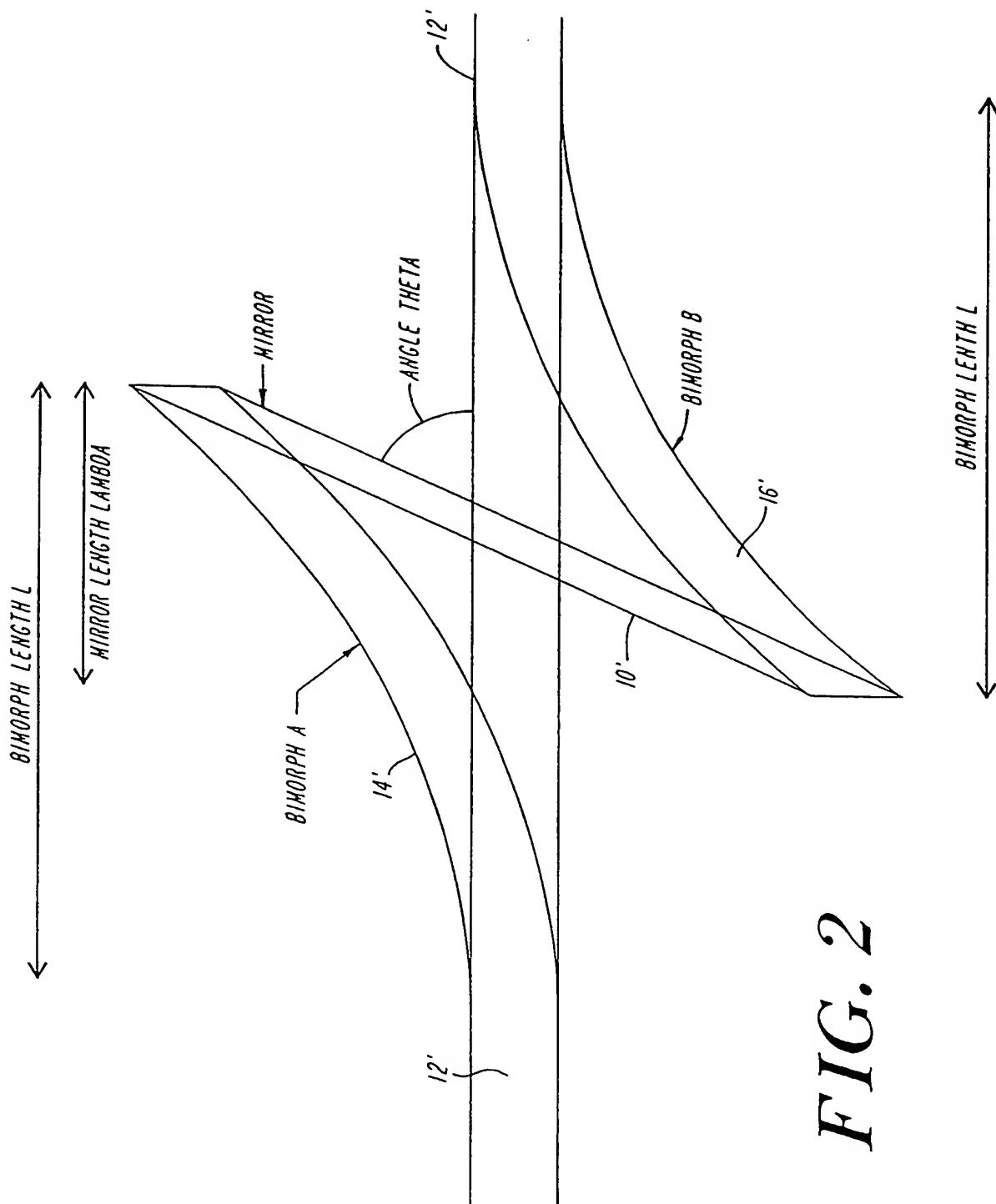
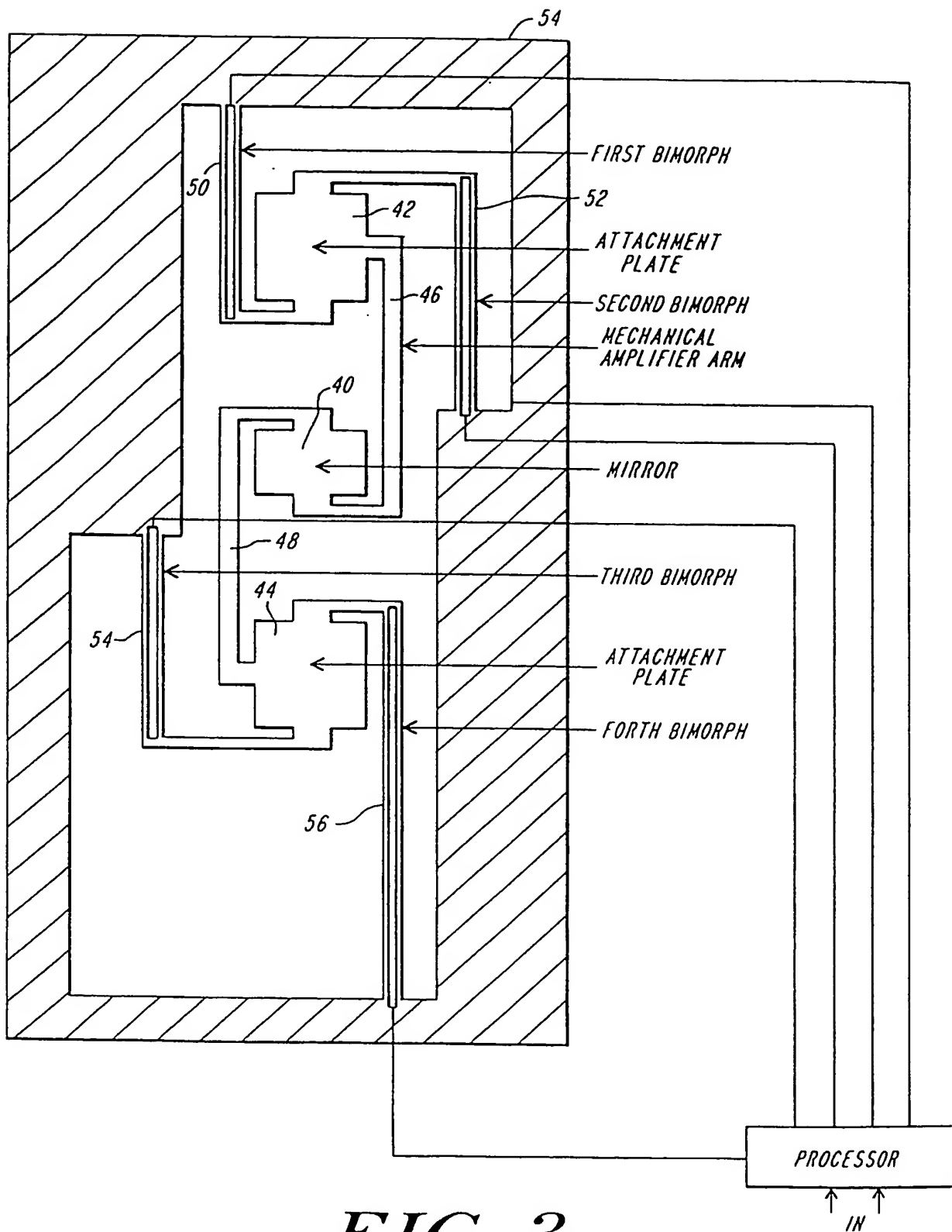


FIG. 1

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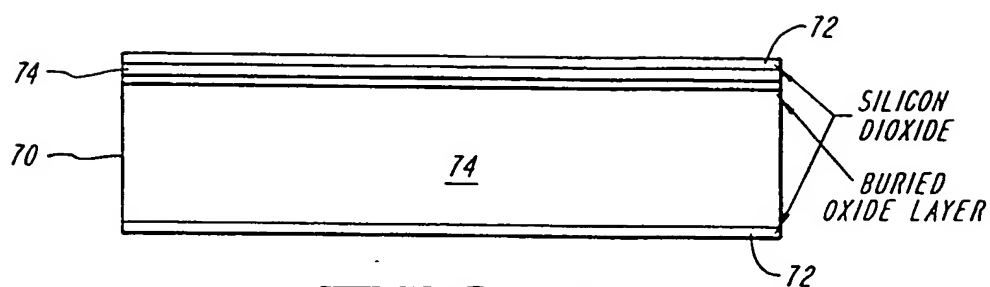


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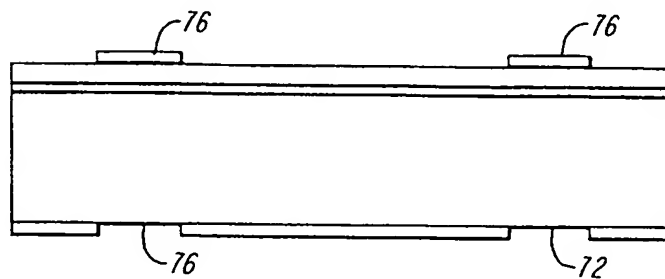
**FIG. 3**



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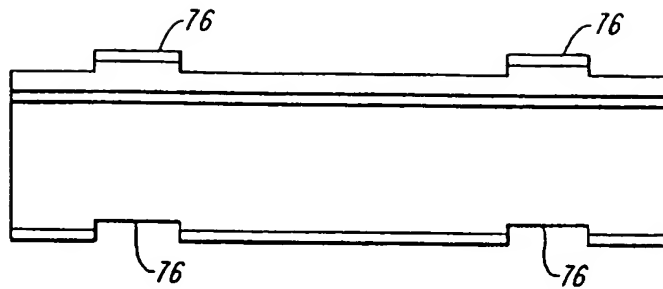


**FIG. 4**

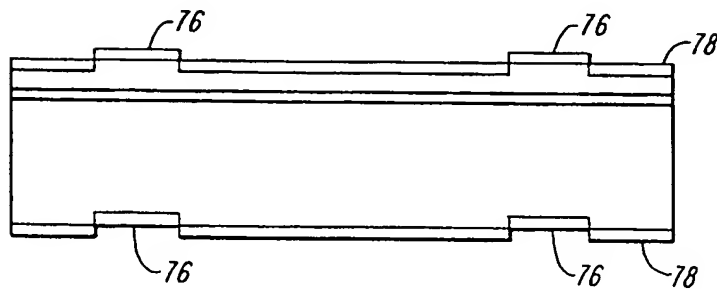


**FIG. 5**

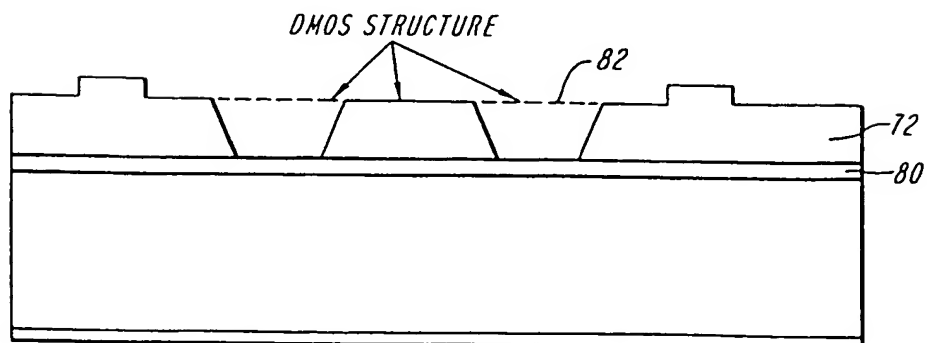
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**FIG. 6**

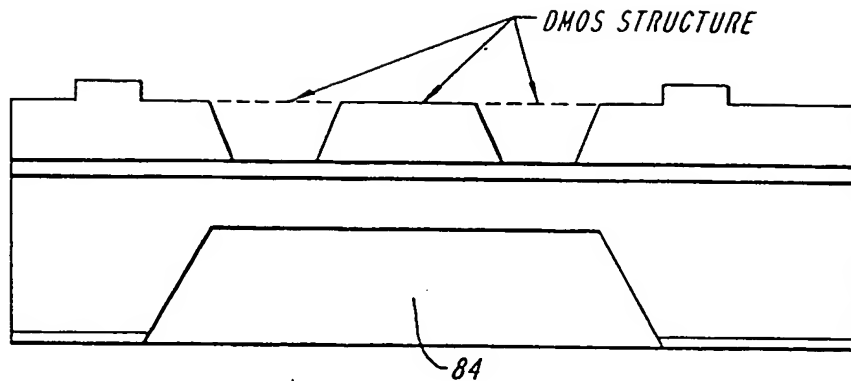


**FIG. 7**

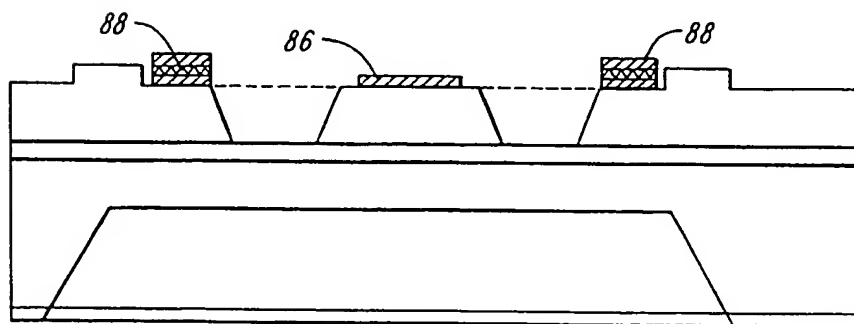


**FIG. 8**

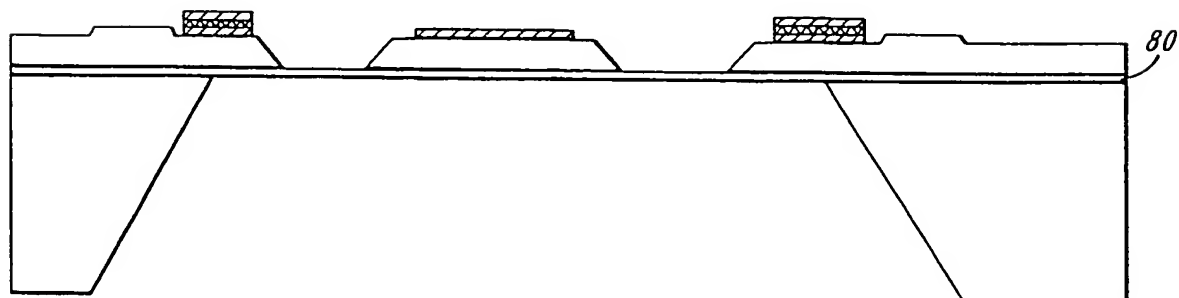
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**FIG. 9**



**FIG. 10**



**FIG. 11**

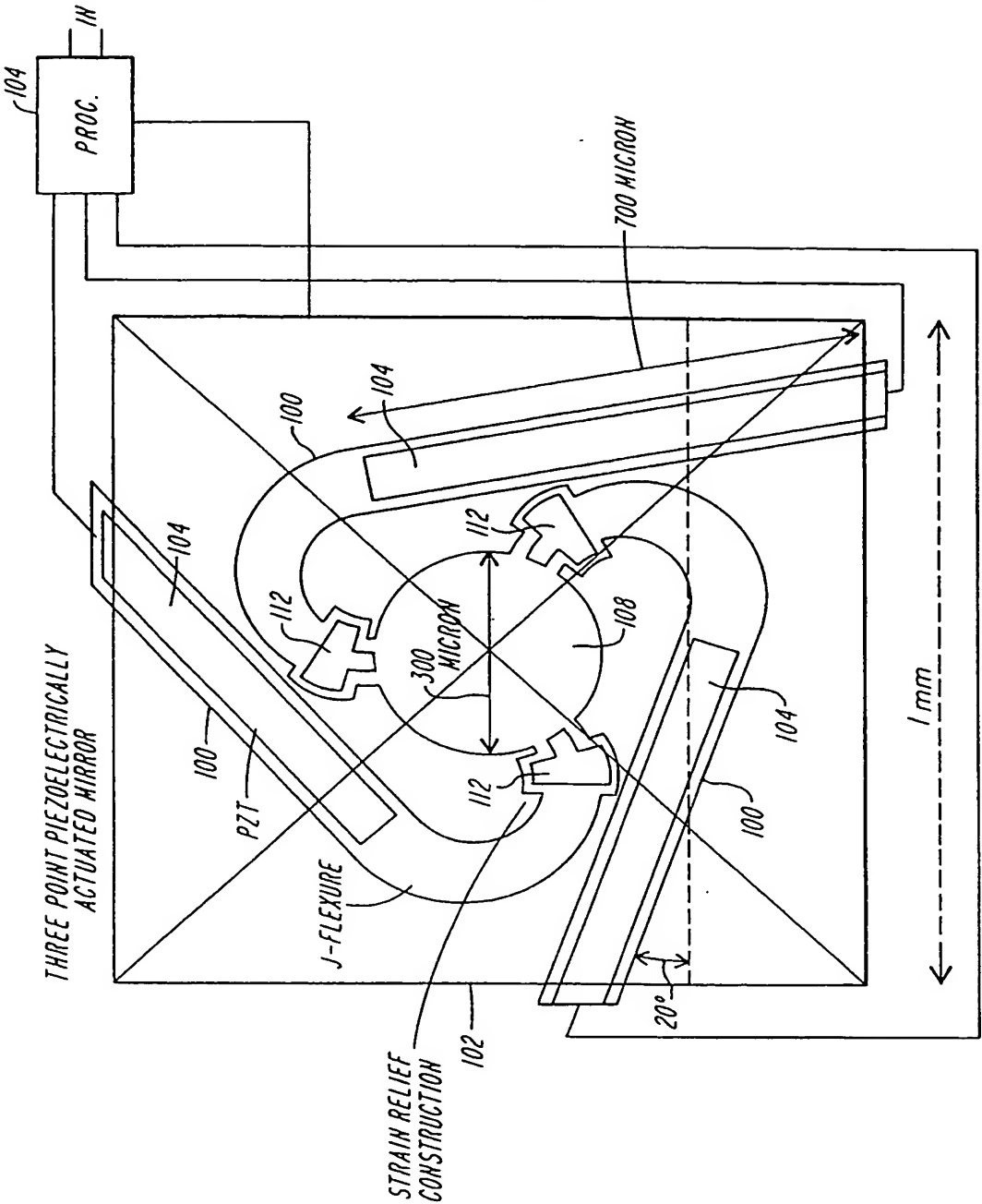


FIG. 12

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/07075

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :G02B 7/182

US CL :359/872

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 359/872, 224, 849, 871, 875, 883; 310/311, 330, 331, 332, 335, 370

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- A	US 5,245,464 A (JENSEN) 14 September 1993 (14-09-1993), see figs. 9, 14, 25 and 26.	1, 3-16 ----- 2, 17-18

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

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